



U.S. Department of Justice

United States Attorney
District of Arizona

Two Renaissance Square
40 N. Central Ave., Suite 1200
Phoenix, AZ 85004-4408

Main: (602) 514-7500
Main Fax: (602) 514-7693
Direct Fax: (602) 514-7450

February 26, 2014

Public Safety Personnel Retirement System
3010 E. Camelback Road, Ste. 300
Phoenix, AZ 85016

Re: Grand Jury Subpoena No.: 13-02-500
Our file: 2014R00726

Dear Sir/Madam:

You have been subpoenaed to appear before the federal grand jury to produce certain documents on April 8, 2014.

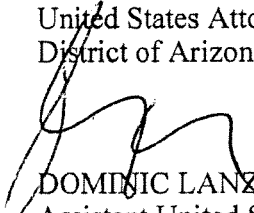
While you are not required to do so, for your convenience you may, prior to the appearance date, turn the subpoenaed documents over to Assistant U. S. Attorney Dominic Lanza. If mailed, please mail the documents directly to the undersigned Assistant U.S. Attorney, at Two Renaissance Square, 40 N. Central Ave., Suite 1200, Phoenix, Arizona 85004-4408. The grand jury will be notified that these documents have been produced pursuant to a grand jury subpoena. If you elect to do this, you need not appear personally at the appointed time. **However, in that case, please complete and return the enclosed certification or one from your company and attach it to the requested records.** Questions concerning the subpoena should be directed to Special Agent Suzanne Allen, Federal Bureau of Investigation, at (623) 466-1221.

This subpoena has been issued pursuant to a criminal investigation being conducted by the federal grand jury. Although you are not prohibited from doing so, it is requested that your company not disclose the fact of the subpoena or your production of the information described in the subpoena to your customer or any other individual. Any such disclosure could impede the investigation being conducted and thereby interfere with the enforcement of the law.

Your cooperation and courtesy are appreciated.

Sincerely yours,

JOHN S. LEONARDO
United States Attorney
District of Arizona


DOMINIC LANZA
Assistant United States Attorney

DL/ehe
Enclosure

UNITED STATES DISTRICT COURT

for the

District of Arizona

Complied _____
Appeared _____
Read In _____

SUBPOENA TO TESTIFY BEFORE A GRAND JURY

To: Public Safety Personnel Retirement System
3010 E. Camelback Road, Ste. 300
Phoenix, AZ 85016

YOU ARE COMMANDED to appear in this United States district court at the time, date, and place shown below to testify before the court's grand jury. When you arrive, you must remain at the court until the judge or a court officer allows you to leave.

Place: Sandra Day O'Connor U.S. Courthouse 401 W. Washington Street Room 306, 3rd Floor Phoenix, Arizona 85003-2151	Date and Time: April 8, 2014 8:45 a.m.
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You must also bring with you the following documents, electronically stored information, or objects (*blank if not applicable*):

Please see attachment.

Date: February 26, 2014

BRIAN D. KARTH
 CLERK OF COURT


Signature of Clerk or Deputy Clerk

The name, address, e-mail, and telephone number of the United States attorney, or assistant United States attorney, who requests this subpoena, are:

DOMINIC LANZA
Assistant U.S. Attorney
40 N. Central Avenue, Suite 1200
Phoenix, AZ 85004-4408
(602) 514-7500 or 1-800-800-2570

Financial Privacy Restrictions Apply

Yes No

6(e) Filed 02/11/2014

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Phoenix, AZ 85016

ATTACHMENT #1

PRODUCE THE FOLLOWING:

Anton Orlich provided an electronic copy of certain files to the Public Safety Personnel Retirement System ("PSPRS"). Provide electronic copies of the documents specified below, along with an index showing the date the files were created, last modified, and last accessed.

Name	Full Path
Bridget meeting notes-summary.docx	ESI01\Bridget meeting notes-summary.docx
Bridget meeting notes.docx	ESI01\Bridget meeting notes.docx
Response to Hacking-Lieberman Report - 5-20-13.pdf	ESI02\Response to Hacking-Lieberman Report - 5-20-13.pdf
2007-09-31.pdf	ESI03\2007-09-31.pdf
2008-05-30.pdf	ESI03\2008-05-30.pdf
2009-03-03-1.pdf	ESI03\2009-03-03-1.pdf
2009-04-01.pdf	ESI03\2009-04-01.pdf
2009-04-09.pdf	ESI03\2009-04-09.pdf
2009-04-14.pdf	ESI03\2009-04-14.pdf
2009-04-30.pdf	ESI03\2009-04-30.pdf
2009-06-12.pdf	ESI03\2009-06-12.pdf
2009-07-05.pdf	ESI03\2009-07-05.pdf
2010-01-29.pdf	ESI03\2010-01-29.pdf
2010-02-05.pdf	ESI03\2010-02-05.pdf
2010-02-12.pdf	ESI03\2010-02-12.pdf
2010-04-02.pdf	ESI03\2010-04-02.pdf
2010-04-12.pdf	ESI03\2010-04-12.pdf
2010-04-15.pdf	ESI03\2010-04-15.pdf
2010-05-11.pdf	ESI03\2010-05-11.pdf
2010-06-18-1.pdf	ESI03\2010-06-18-1.pdf
2010-06-18-2.pdf	ESI03\2010-06-18-2.pdf
2010-06-18-3.pdf	ESI03\2010-06-18-3.pdf
2010-06-22.pdf	ESI03\2010-06-22.pdf
2010-07-26.pdf	ESI03\2010-07-26.pdf
2010-08-17.pdf	ESI03\2010-08-17.pdf
2010-08-19-1.pdf	ESI03\2010-08-19-1.pdf
2010-08-19-2.pdf	ESI03\2010-08-19-2.pdf
2010-08-23.pdf	ESI03\2010-08-23.pdf
2010-09-17.pdf	ESI03\2010-09-17.pdf
2010-11-19.pdf	ESI03\2010-11-19.pdf
2011-06-01.pdf	ESI03\2011-06-01.pdf
2011-12-31.pdf	ESI03\2011-12-31.pdf
2012-06-30.pdf	ESI03\2012-06-30.pdf
2012-09-19.pdf	ESI03\2012-09-19.pdf
2012-10-31.pdf	ESI03\2012-10-31.pdf
2012-11-07.pdf	ESI03\2012-11-07.pdf
2012-11-15.pdf	ESI03\2012-11-15.pdf
2012-11-28.pdf	ESI03\2012-11-28.pdf

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2013-03-01.pdf	ESI03\2013-03-01.pdf
2013-03-06.pdf	ESI03\2013-03-06.pdf
2013-03-07.pdf	ESI03\2013-03-07.pdf
2013-03-27.pdf	ESI03\2013-03-27.pdf
2013-04-04.pdf	ESI03\2013-04-04.pdf
2013-04-07.pdf	ESI03\2013-04-07.pdf
2013-04-11.pdf	ESI03\2013-04-11.pdf
2013-04-22.pdf	ESI03\2013-04-22.pdf
2013-04-24-1.pdf	ESI03\2013-04-24-1.pdf
2013-04-24-2.pdf	ESI03\2013-04-24-2.pdf
Appraisal Status.htm	ESI03\Appraisal Status.htm
Aug 2012 Troon Investment Presentation (2).pdf	ESI03\Aug 2012 Troon Investment Presentation (2).pdf
BNY Confirmation - All Ex-Desert Troon Appraisals.htm	ESI03\BNY Confirmation - All Ex-Desert Troon Appraisals.htm
CBRE Amendment to Appraisals Letter.doc	ESI03\CBRE Amendment to Appraisals Letter.doc
CBRE appraisals DTR1 projects.txt	ESI03\CBRE appraisals DTR1 projects.txt
CBRE Appraisals.htm	ESI03\CBRE Appraisals.htm
CBRE Signed Statement of Work .pdf	ESI03\CBRE Signed Statement of Work .pdf
CBRE Troon 2010 Scope of Work.pdf	ESI03\CBRE Troon 2010 Scope of Work.pdf
CBRE Troon Investment value Aug 17th.htm	ESI03\CBRE Troon Investment value Aug 17th.htm
CIO Approval of Revised CBRE Scope.htm	ESI03\CIO Approval of Revised CBRE Scope.htm
CIO comments April 25, 2012 1.docx	ESI03\CIO comments April 25, 2012 1.docx
CIO comments April 4, 2012 .pdf	ESI03\CIO comments April 4, 2012 .pdf
CIO comments December 31, 2011.docx	ESI03\CIO comments December 31, 2011.docx
CIO comments February 29, 2012 1.docx	ESI03\CIO comments February 29, 2012 1.docx
CIO comments May 30, 2012 1.docx	ESI03\CIO comments May 30, 2012 1.docx
CIO letter confidential April 17 20131.docx	ESI03\CIO letter confidential April 17 20131.docx
CIO letter confidential March 20 20131.docx	ESI03\CIO letter confidential March 20 20131.docx
CIO letter confidential Nov 28 20121.docx	ESI03\CIO letter confidential Nov 28 20121.docx
CIO letter confidential1.docx	ESI03\CIO letter confidential1.docx
CIO Letter1.docx	ESI03\CIO Letter1.docx
CIO Letterapril20111.docx	ESI03\CIO Letterapril20111.docx
CIO LetterJan20111.docx	ESI03\CIO LetterJan20111.docx
CIO Letterjuly2011 PS Edit1.docx	ESI03\CIO Letterjuly2011 PS Edit1.docx
CIO Letterjuly20111.docx	ESI03\CIO Letterjuly20111.docx
CIO LetterJune20111.docx	ESI03\CIO LetterJune20111.docx
CIO Lettermarch20111.docx	ESI03\CIO Lettermarch20111.docx
CIO Lettermay20111.docx	ESI03\CIO Lettermay20111.docx
CIO LetterSept20111.docx	ESI03\CIO LetterSept20111.docx
CIO overview of Investments Dec 31 20091.doc	ESI03\CIO overview of Investments Dec 31 20091.doc
CIO1.doc	ESI03\CIO1.doc
CIO1.July,2010.docx	ESI03\CIO1.July,2010.docx
CIO1.June23,2010.docx	ESI03\CIO1.June23,2010.docx
CIOApril20101.doc	ESI03\CIOApril20101.doc
CIOMay20101.doc	ESI03\CIOMay20101.doc
Desert Troon (02-08-2013 Update).pdf	ESI03\Desert Troon (02-08-2013 Update).pdf
DTL Appraisal List ocnfirm 2010 PSPRS Mark To Market.htm	ESI03\DTL Appraisal List ocnfirm 2010 PSPRS Mark To Market.htm
dtr1c 2011 mark to market detail.PDF	ESI03\dtr1c 2011 mark to market detail.PDF

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FW All Ex-Desert Troon Appraisals.htm	ESI03\FW All Ex-Desert Troon Appraisals.htm
Fw PSPRS Mark to Market 2010 - Desert Troon.txt	ESI03\Fw PSPRS Mark to Market 2010 - Desert Troon.txt
invoice PH100151-A.pdf	ESI03\invoice PH100151-A.pdf
Invoice PH100151-A property list.xls	ESI03\Invoice PH100151-A property list.xls
Invoice PH100151.pdf	ESI03\Invoice PH100151.pdf
Mark to market reports issued by EY.htm	ESI03\Mark to market reports issued by EY.htm
Nov 19th 2010 - CBRE Investment Valuations.htm	ESI03\Nov 19th 2010 - CBRE Investment Valuations.htm
PSPRS Troon Values Aug 2010.pdf	ESI03\PSPRS Troon Values Aug 2010.pdf
PSPRS Valuations with Desert Troon.htm	ESI03\PSPRS Valuations with Desert Troon.htm
RE .txt	ESI03\RE .txt
RE Any news on Troon's appraisals1.htm	ESI03\RE Any news on Troon's appraisals1.htm
RE CBRE Appraisals.htm	ESI03\RE CBRE Appraisals.htm
RE CBRE.htm	ESI03\RE CBRE.htm
RE PSPRS Mark to Market 2010 - Desert Troon.htm	ESI03\RE PSPRS Mark to Market 2010 - Desert Troon.htm
RE PSPRS Values Aug 12.txt	ESI03\RE PSPRS Values Aug 12.txt
RE PSPRS.htm	ESI03\RE PSPRS.htm
RE Troon - Terra Verde.htm	ESI03\RE Troon - Terra Verde.htm
Results PSPRS Mark to Market 2010.htm	ESI03\Results PSPRS Mark to Market 2010.htm
Revised Scope Kutak.pdf	ESI03\Revised Scope Kutak.pdf
Tab 7 - Compliance Approval DTR1 transfer of assets.pdf	ESI03\Tab 7 - Compliance Approval DTR1 transfer of assets.pdf
Today's Meeting re Valuation and Desert Troon.txt	ESI03\Today's Meeting re Valuation and Desert Troon.txt
Troon Appriasals - Post Appraisal Issues.htm	ESI03\Troon Appriasals - Post Appraisal Issues.htm
Troon Appriasals July 2010.htm	ESI03\Troon Appriasals July 2010.htm
Troon PSPRS Mark to Market 2010.htm	ESI03\Troon PSPRS Mark to Market 2010.htm

INSTRUCTIONS FOR PRODUCTION OF ELECTRONICALLY (DIGITALLY) STORED RECORDS

I. General

A. Records existing as Electronically Stored Information (ESI) shall be produced in electronic form and shall include text data and image data held:

1. In your record retention systems; and/or
2. By your technology, data, or other service provider(s).

B. Records that do not exist as ESI may be produced in paper or other original format and may be converted to image or text data and provided as ESI, unless originals are required.

II. Text Data

A. Text data relating to transactions shall be produced within a data file:

1. Using delimited ASCII text data format; or
2. Using software that can export a commonly readable, non-proprietary file format without loss of data.

B. Text data files relating to transactions shall include field descriptions (e.g., account number, date/time, description, payee/payor, check number, item identifier, and amount).

III. Image Data

A. Image data shall be produced in graphic data files in a commonly readable, non-proprietary format with the highest image quality maintained.

B. Image data of items associated with specific transactions (e.g. checks and deposit slips) shall be:

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3010 E. Camelback Road
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1. Produced in individual graphic data files with any associated endorsements; and
2. Linked to corresponding text data by a unique identifier.

IV. Encryption/Authentication

- A. ESI may be transmitted in an encrypted container. Decryption keys and/or passwords shall be produced separately at the time the data are produced.
- B. Authentication, such as hash coding, may be set by agreement.
- C. Affidavits or certificates of authenticity may be included as part of the electronic production.

V. Cost Reimbursement

- A. Costs that are reasonably necessary and have been directly incurred in searching for, reproducing, or transporting records may be reimbursable. See the *Right to Financial Privacy Act*, 12 U.S.C., Section 3415 and Federal Reserve Board *Regulation S*, 12 C.F.R., Part 219 (revised effective 1/1/2010).

Contact SA Suzanne Allen, Federal Bureau of Investigation, at (623) 466-1221 with any questions.

DECLARATION FOR RECORDS OF REGULARLY CONDUCTED BUSINESS ACTIVITY

Re: Subpoena #13-02-500	Our Reference# 2014R00726
Agency Case #: 318A-PX-4036134	Date Served:
Company Name: Public Safety Personnel Retirement System	Address: 3010 E. Camelback Road, Ste. 300 Phoenix, AZ 85016

I, _____, declare that I am employed by _____. I am the duly authorized Custodian of Records for documents and/or information produced under the above reference legal order. The company reserves its right to designate another Custodian as it deems appropriate in the event an actual appearance is required concerning the records produced herein. I certify the records produced herewith are a duplicate of the original(s) and that they were:

- A) Made at or near the time of the occurrence, condition or event of the matters set forth by, or from information transmitted by, a person with knowledge of those matters.
- B) Kept in the course of regularly conducted business activity.
- C) Made as a part of the regular practice of the business activity, by personnel of the business.

The records produced herewith are identified as follows:

- | | |
|---|---|
| <input type="checkbox"/> Signature Cards(s) | <input type="checkbox"/> Deposit(s)/Credit(s)/Memos |
| <input type="checkbox"/> Statement(s) | <input type="checkbox"/> Check(s)/Withdrawal(s) |
| <input type="checkbox"/> Cashiers' Check(s) | <input type="checkbox"/> Wire Transfer(s) Forms |
| <input type="checkbox"/> Other: _____ | |

Records necessary for compliance may have been limited as indicated below:

- The company **does not possess any of the records** as described in the above reference legal order.
- The enclosed records are true copies of the company records in the custodian's possession as described in the above referenced legal order. This constitutes a **final** or **partial** production.
- The company received notification that **no further production is required**. File closed.
- Compliance with the above referenced legal order was **limited to the following** through agreement with the requesting party:
- The company is **unable to provide the following records** as described in the above referenced legal order because of the following:

_____.

I declare under penalty of perjury that the foregoing is true and correct. (28 U.S.C. § 1746)

Executed on this _____ day of _____, 20____, at _____.

_____, Custodian of Records, Telephone # _____